TOM ROBERTSON, CFP®
Robertson Advisory

Client Privacy of Information Policy

As our client, you have trusted Robertson Advisory Inc. to help you pursue financial independence. To maintain that trust, we are committed to protect the privacy and security of the personal information that we collect about our clients. This notice is intended to help you understand how we collect, handle, and safeguard that information.

We treat the personal information of our clients in a confidential manner. We do not provide any information to unrelated companies for the purpose of marketing their products or services to our clients.

When you establish a relationship with us, you are requested to furnish personal and financial information used to assist in assessing your investment objectives and goals. The information you share with us is often private and confidential, and we are therefore committed to its protections. In addition to the information collected, during the course of our relationship we may also collect a variety of nonpublic personal information from other sources. The confidential information we collect may include the following:

1. Information we receive from you, such as your name, address, social security number, assets, income, investment objectives and other information as required by Industry Regulators.
2. Information about your transaction, including balances, portfolio holdings, cash balances, margin balances, and client statements. Also included may be portfolio evaluations.
3. Information we receive from our broker dealer – LPL Financial, various fund sponsors, unaffiliated custodians and money managers, your accountant, attorney or other professional obtained by you.
4. Medical or health information that you, the client authorize us to receive from doctors or other health care providers and medical vendors in relation to the advice provided on a traditional or variable life policy or group insurance.

Robertson Advisory Inc. does not share client information with any third party other than those that are authorized by you or that are required in order for us to provide services agreed upon by the client, service an account, execute a transaction, or if required to do so by regulation or law. We may share information with our Broker/Dealer, LPL Financial, the CFP Board of Standards, a third party money manager, unaffiliated custodian, or insurance companies as is necessary to provide advisory services.

Robertson Advisory Inc. has established policies to maintain physical, electronic, and procedural safeguards to maintain the confidentiality of the personal information of or clients. Appropriate measures are taken to ensure that access is available to those individuals who need to know that information in order to provide our products and services.
L. Thomas Robertson is a Registered Representative with and offers securities and advisory services through LPL Financial, a registered investment advisor. Member of FINRA/SIPC.

Financial planning offered through Robertson Advisory Inc., a registered investment advisor and separate entity from LPL Financial.